



Requirements

CR3.0 - Assessment and Certification of Feed Responsibility Assurance scopes

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1. Scope of this document

This document contains assessment and certification/inspection criteria for:

- Feed Responsibility Assurance Module (FRA).

2. Normative references

The following documents, in whole or in part, are normatively referenced in this document and are mandatory to comply with. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

- F0.1 *Rights and Obligations*
- F0.2 *Definition list*
- F0.3 *Scopes for certification*
- CR1.0 *Acceptation requirements*
- CR2.0 *Assessment and Certification of Feed Safety Assurance scopes*

3. Terms and Definitions

For GMP+ definitions see F0.2 Definition list. Throughout this document the terminology “through the Certification Body” is used indicating that all activities performed by critical-, non-critical locations are conducted under the responsibility/liability of the GMP+ accepted Certification Body.

4. Process requirements

4.1. Pre-certification activities

4.1.1. Application

The Certification Body must require an authorized representative of the applicant organization to provide the necessary information to enable it to establish the following:

- a. the desired scope of the certification;
- b. relevant details of the applicant organization as required by the specific certification scheme, including its name and the address(es) of its site(s), its processes and operations, human and technical resources, functions, relationships and any relevant legal obligations;
- c. identification of outsourced processes used by the organization that will affect conformity to requirements;
- d. the standards or other requirements for which the applicant organization is seeking certification;

Relevant details of the applicant organization including its name and addresses as specified in the official legal business registration by the competent authority and information as mentioned in Appendix 2 of this document. In addition the 5th bullet of article 5.1.1. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes, applies.

4.1.2. Application review

Before proceeding with the audit, the Certification Body will carry out a review of the application and additional information for certification to ensure that:

- a. the information about the applicant organization and its management system is sufficient for the conduct of the audit;
- b. any known difference in understanding between the Certification Body and the applicant organization is resolved;
- c. the Certification Body has the competence and ability to perform the certification activity;
- d. the scope of certification sought, the location(s) of the applicant organization's operations, time required to complete audits and any other points influencing the certification activity are taken into account (language, safety conditions, threats to impartiality, etc.);
- e. records of the justification for the decision to undertake the audit are maintained.

The certification body, following the review of the application, must either accept or decline an application for certification (the reasons for declining an application, the review of the application, must be documented and made clear to the client).

Based on the review, the Certification Body must determine the competences it needs to include in its audit team and for the certification decision.

The Certification Body must not exclude activities, processes, products and services from the scope of certification when these can have an influence on the feed responsibility. The application review is mandatory.

4.1.3. Certification agreement

Article 5.1.3 of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable. In deviation on requirement 5.1.3.b, the minimum obliged audit/inspection time per scope(s)/standard(s) as stated in [Appendix 1](#) of this document per audit type is applicable, referring to Appendix 1 is insufficient.

4.1.4. Audit team assignment

Persons who are performing the audit must comply with the applicable requirement of Appendix 2 of the CR1.0 *Acceptation requirements*.

4.1.5. Rotation of auditors

Rotation of FRA auditors:

- Article 5.1.4.1 of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.1.6. Audit plan

An audit plan for each type of audit must be send to the GMP+ Certified Company prior to the audit.

4.2. Certification process

4.2.1. Audit

4.2.1.1. General

Article 5.2.1.1. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable. The minimum obliged audit frequency and audit times are determined in Appendix 2 of this document (CR3.0).

4.2.1.2. Initial certification Audit and inspection

An initial certification audit will be performed through the Certification Body in order to assess whether the company meets the criteria set out in [Appendix 1](#) of this document. The initial certification audit must be conducted within 3 months after concluding a certification agreement with the applicant organization.

When the specific conditions as described in Appendix 4 "Not at the GMP+ Certified Company location" and/or Appendix 5 "Remote Audits" of this document are met, the Initial certification audit can be performed accordingly.

4.2.1.3. Temporary acceptance

The text of article 5.2.1.3.1 of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.2.1.4. Surveillance audits

The first surveillance audit must be executed each 12 months, plus and minus 2 months, after the certification decision date.

The second surveillance audit must be executed each 24 months, plus and minus 2 months, after the certification decision date.

When the specific conditions as described in Appendix 4 "Not at the GMP+ Certified Company location" and/or Appendix 5 "Remote Audits" are met, the surveillance audit can be performed accordingly.

4.2.1.5. Announced surveillance audits

An announced surveillance certification audit will be performed during the period of validity of the GMP+ certificate through the Certification Body in order to assess whether the company meets the criteria set out in Appendix 1 of this document. The frequency and the audit times of the announced surveillance audit are determined in Appendix 2 of this document.

4.2.1.6. Unannounced surveillance audits

See article 4.2.1.5 above. In addition if the FRA module is audited together with the FSA module the audit will be performed unannounced for all scopes.

4.2.1.7. Recertification audit

Prior to the extension of validity of a certificate a re-certification audit/inspection must be carried out through the Certification Body.

A GMP+ certificate may or may not be extended by the Certification Body based on the assessment criteria as specified in Appendix 1 this document.

Before the period of validity of the certificate expires, the total certification process must be finished including updating of the GMP+ company database (status and data of certificate) through the Certification Body. If a recertification audit is not carried out before the expiration date of the validity of the certificate, then an initial certification audit must be carried out. The company is in the intervening period not GMP+ certified.

When the specific conditions as described in Appendix 4 "Not at the GMP+ Certified Company location" and/or Appendix 5 "Remote Audits" are met, the recertification audit can be performed accordingly

4.2.1.8. Expansion audit

Article 5.2.1.7. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.2.2. Special audits

The following audits can be applicable, assessment must be done in accordance with Appendix 1 of this document.

4.2.2.1. Stricter supervision Audit (SSA)

Article 5.2.2.1. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.2.2.2. Repeat audit (RPA)

Article 5.2.2.2. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.2.3. Extraordinary events

Article 5.2.3. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.2.4. Identifying and Recording Audit findings

If the applicant organization/GMP+ Certified Company does not comply with the applicable requirements of the GMP+ Feed Certification scheme, the sanctions as specified in Appendix 1 of this document apply.

If nonconformity identified in one of the MI5.4 *GMO controlled* scopes this applies for both the FRA and FSA scopes.

4.2.5. Audit report

The text of article 5.2.6. and Appendix 3 of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.2.6. Review

Article 5.2.7. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.2.7. Certification decision

The certification decision must be based on:

- a. For any type of nonconformities, the Certification Body has reviewed, accepted and verified the correction and corrective actions;
- b. Assessment of the applicant organization/GMP+ Certified Company took place in accordance with Appendix 1 of this document.
- c. The assessment and decision of a Certification Body must be demonstrably based on objective evidence of conformity and non-conformity obtained.

4.2.8. Certificate and Temporary acceptance

4.2.8.1. Certificates/ Statement

A certificate has the following maximum validity:

- FRA certificates (all MI 5.1, MI 5.2, MI 5.3, MI 5.4, MI 5.6 and MI5.7): 3 years,
- FRA statement (MI 5.5): 14 months

calculated from the date of a positive certification decision. Within 8 weeks following the execution of the audit on site, the Certification Body will send the certificate/ statement to the applicant organization/GMP+ Certified Company. The duration of the GMP+ certificate/ statement must not exceed the validity of the certification agreement.

4.2.8.2. Temporary acceptance

For FRA temporary acceptance see article 5.2.9.2 of CR2.0 Assessment and Certification of Feed Safety Assurance scopes. However, if during the initial certification audit on site (if applicable), the applicant organization does not appear to comply the GMP+ requirements conform Appendix 1 of this document (CR3.0) then the temporary acceptance must be withdrawn.

4.2.8.3. Certificate and Temporary acceptance templates:

The Certification Body must put the following text on the certificate or temporary acceptance:

A. Text for a certificate

Name of the Certification Body:
GMP+ International registration number of the Certification Body:
Certificate
GMP+ FRA logo
Name, address, location of the GMP+ Certified Company (Address where GMP+ activities take place) GMP+ International registration number of the GMP+ Certified Company
FIXED SECTION
=name CB= declares that there is justifiable confidence that the GMP+ scope(s) =as mentioned in F0.3 Scopes for certification= at the GMP+ Certified Company =name of GMP+ Certified Company= comply with the applicable requirements and conditions of the GMP+ Feed Responsibility Assurance Module 2020.
The Feed Management system of the whole multi-site construction is certified and the validity of this certificate depends on the validity of the certificate of the main office ¹
FREE SECTION
See F0.3 Scopes for certification - Optional Specification
Registered office of the Certification Body
Certificate number
Start date and end date of certificate

¹ Only in case of an individual multi-site certificate, these sentences must be included in the certificate

B. Text for temporary acceptance

Name of the Certification Body:

GMP+ International registration number of the Certification Body:

Temporary Acceptance

Name, address, location of the temporary accepted company

(Address where GMP+ activities take place)

GMP+ International registration number of the temporary accepted company

FIXED SECTION

=*name CB*= declares that there is justifiable confidence that the GMP+ scope(s) =*as mentioned in F0.3 Scopes for certification*= at the GMP+ temporary accepted company =*name of GMP+ temporary accepted company*= comply with the criteria of a stage 1 assessment of the applicable requirements and conditions of the GMP+ Feed Responsibility Assurance Module 2020.

" The Feed Management system of the whole multi-site construction is temporary accepted and the validity of this temporary acceptance depends on the validity of the temporary acceptance of the main office"¹

FREE SECTION

See F0.3 *Scope for certification - Optional Specification*

Registered office of the Certification Body

Temporary acceptance number

Start date and end date of temporary acceptance

¹ Only in case of an individual multi-site certificate, these sentences must be included in the certificate

C. Text for statement

Name of the Certification Body

GMP+ International registration number of the Certification Body

STATEMENT

GMP+ FRA logo

The Certification Body **[Name CB]** states that company Y

Name, location of company Y

Visiting address and location of company Y

GMP+ International registration number of the company location visited

was inspected in accordance with the applicable requirements of MI5.5 *Carbon footprint of feed* - Nevedi Protocol and CR3.0 Assessment and Certification of Feed Responsibility Assurance scopes of GMP+ International B.V. in Rijswijk, The Netherlands.

The Certification Body **[name CB]** states, based on this inspection, that the company **[name Company Y]** complies with the requirements of MI5.5 *Carbon footprint of feed* - Nevedi Protocol. This statement applies to:

- *All feed produced by [name Company]*
- or
- *Feed produced by [name Company] for [animal species 1]*
- *Feed produced by [name Company] for [animal species 2]*
- *Feed produced by [name Company] for [animal species 3]*

Date of inspection:

Registered office of the Certification Body:

Statement number:

Statement start date:

Statement end date:

Next inspection to be conducted prior to date:

In addition the following applies for the above certificate, temporary acceptance and statement:

- a. The data of the GMP+ Certified Company/temporary accepted company must exactly be the same as registered in the legal business registration. (for example Chamber of Commerce/registration at competent authority, tax/vat number)
- b. It is mandatory to show the GMP+ FRA logo on the certificate.
- c. It is not permitted to use the GMP+ FRA logo on a temporary acceptance. In addition, the document may not be called a "certificate" but must be designated as a "temporary acceptance".
- d. It is not permitted to use the logos of Critical Location and non-Critical Location on the GMP+ certificate and temporary acceptance other than the GMP+ accepted Certification Body.
- e. The start date of the certificate/temporary acceptance is a date which is in any event equal or after the date of the positive certification/temporary acceptance decision.
- f. In case of expansion of scopes the end date of the valid GMP+ certificate may not be extended. The Certification Body can also grant the GMP+ Certified Company a new GMP+ certificate for the additional scope.
- g. It is not permitted to specify brand names in any way whatsoever on the certificate or temporary acceptance.
- h. (Contractual) conditions/ requirements are not allowed on the GMP+ certificate/temporary acceptance/statement.

4.3. Suspension or Withdrawal of a Certificate Temporary acceptance

The text of article 5.3 of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.4. Transfer to another Certification Body

Article 5.4. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.4.1. Pre-transfer review

Article 5.4.1. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.4.2. Certification process during transfer

Article 5.4.2. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

4.4.3. Cooperation between the departing and accepting Certification Bodies

Article 5.4.3. of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable

5. Exclusion of GMP+ International Liability

Chapter 6 of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes is applicable.

6. Tariffs

The Certification Body will use its own tariff. On behalf of GMP+ International, through the Certification Body, relevant tariff as listed in GMP+ CR4.0 *Tariffs* are charged.

7. Disputes between Certification Bodies and GMP+ certified companies

Disputes between Certification Bodies and applicant organization/GMP+ Certified Companies with respect to the assessment will initially be handled in accordance with the dispute regulation of the Certification Body. If this does not lead to a solution, then the dispute can be handled in accordance with the F0.5 *Disputes procedure*.

Appendix 1: Assessment criteria and sanctions for audits GMP+ FRA

Non-conformities are to be classified based on:

- The general assessment criteria as mentioned in this Appendix
- The specific assessment criteria as shown in the checklists.

The sanctions specified must be imposed as a minimum. Through the Certification Body it is allowed to impose stricter sanctions.

If in this table is mentioned certificate it also applies for the temporary acceptance.

Classification: Minor non-conformity				
Description	Consequences			Period to close
		ICA/RCA	SA	
GMP+ Certified Companies: <ul style="list-style-type: none"> • do not comply with GMP+ requirements, incidental nature and feed responsibility is not adversely affected. 	< 10 non-conformities	Certificate can be issued	Certification can be continued	during next on-site audit
	≥ 10 non-conformities	Certificate cannot be issued	Certification can be continued	within 6 weeks

Classification: Major non-conformity			
Description	Consequences		Period to close
	ICA/RCA	SA	
<p>GMP+ Certified Companies:</p> <ul style="list-style-type: none"> cannot close previous minor nonconformity within the deadline as agreed with Certification Body; structural non-conformity and feed responsibility is not adversely affected; do not comply with legislation; do not comply with GMP+ requirements and feed responsibility may be adversely affected. 	Certificate cannot be issued	Certification can be continued but a stricter supervision audit may be performed (see Art. 5.2.2.1 of CR2.0)	within 6 weeks

Classification: Critical nonconformity			
Description	Consequences		Period to close
	ICA/RCA	SA	
<p>GMP+ Certified Companies:</p> <ul style="list-style-type: none"> cannot close previous major nonconformity within the deadline as agreed with Certification Body; structural non-conformity and feed responsibility may be adversely affected; do not comply with GMP+ requirements incidental nature and feed responsibility is adversely affected; under impending prosecution resulting in direct/possible feed responsibility hazard. reasonably assumed to commit gross negligence, fraudulent actions or economic malpractice and feed responsibility is/can be adversely affected. 	Certificate cannot be issued	*Level 1. Certification can be continued but stricter supervision audits must be performed (see Art. 5.2.2.1 of CR2.0)	Within 2 weeks
		*Level 2. Certificate must be suspended: maximum 3 months	
		Lifting of *level 2: Certificate can be continued only possible if the Certification Body can close the critical non-conformity during stricter supervision audit (see Art. 5.2.2.1 of CR2.0)	
		*Level 3. Certificate must be withdrawn at least 1 year excluded from participation in the GMP+ Feed Certification scheme, as well as all Gatekeeper Options	
<p>GMP+ Certified Companies:</p> <ul style="list-style-type: none"> do not cooperate in (planning/conducting) audits by Certification Bodies and/or GMP+ International (not applicable for ICA) do not comply with GMP+ requirements structural nature and feed responsibility is adversely affected 	Certificate cannot be issued	*Level 1. Certificate must be suspended: maximum 3 months	
		Lifting of *level 1: Certificate can be continued only possible if the Certification Body can close the critical non-conformity during stricter supervision audit (see Art. 5.2.2.1 of CR2.0)	
		*Level 2. Certificate must be withdrawn at least 1 year excluded from participation in the GMP+ Feed Certification scheme, as well as all Gatekeeper Options	

* Sanctions can be applied starting at any level.

Appendix 2: Frequency and Audits times

See Appendix 2 of the CR2.0 *Assessment and Certification of Feed Safety Assurance scopes*. The audit times in Table 1 below are in addition to a GMP+ FSA scope (or equivalent as mentioned in chapter 3 of TS1.2 *Purchase*). Reduction of audit times for the applicable FRA scopes in Table 2 only applies when the audit is simultaneously performed with the FSA scopes (or equivalent as mentioned in chapter 3 of TS1.2 *Purchase*) by the same audit team. The audit team assessing the FRA scopes must have a valid GMP+ acceptance covering the relevant FRA scopes. These audit times include preparation, reporting and audit time reduction is not allowed.

Ranking of scope groups

For calculating the minimum audit time, the group of FRA scopes must be ranked as follows:

- scope group A: GMO – Controlled¹
- scope group B: RTRS, Responsible pig & poultry feed, Responsible dairy feed, Responsible feed²
- scope group C: Feed environmental footprint

Ranking of Supply chain models

For calculating the minimum audit time, the audit time for each supply chain model shall be ranked as follows:

- Segregation
- Mass Balance, Area Mass Balance, Book & Claim.

Formula for calculation

For calculating the minimum obliged (Initial Certification audit (ICA); (Un)Announced Surveillance audit (USA/ASA) and Recertification audit (RCA)) audit time for a single site the following formula will be used:

$$Ts = TD + TH1 + TH2 \text{ (if applicable)} + TFTE$$

1. All GMO - Controlled scopes are in scope group A

2. All scopes belonging to scope group B, and there is no ranking of scopes within scope group B

Where:

Ts: minimum obliged audit time, in days

TD: the sum of the basic audit time of the first scope per scope group (A, B, C), in days;

TH1: the sum of the audit time for each additional GMP+ FRA scope for scope group A, in days;

TH2: the sum of the audit time for each additional supply chain model per individual scope in scope group B, in days;

TFTE: : Is the number of audit days per number of employees.

Table 1						
Stand alone for each additional location						
Scope group	Scope of certification	Supply chain model	Minimum audit times in days (all types of audits)			Total no. of employees (FTE ³ relevant for personnel related to all GMP+ activities, expressed in audit days) (TFTE)
			Basic audit times (TD)	Each additional GMP+ FRA scope (TH1)	Each additional supply chain model (TH2)	
B.	RTRS ¹⁺²	Segregation	0,5	N.A. all scopes within group B are considered as one scope when calculating audit times.	+ 0,125	1 to 19 = 0 20 to 49 = 0,125 50 to 79 = 0,25 80 to 200 = 0,375 >200 = 0,5

	Mass Balance ⁴	0,375		
Responsible pig & poultry ¹⁺²	Segregation	0,5		
	Mass Balance ⁴	0,375		
	Area Mass Balance ⁴	0,375		
	Book & Claim ⁴	0,375		
Responsible dairy ¹⁺²	Segregation	0,5		
	Mass Balance ⁴	0,375		
	Book & Claim ⁴	0,375		
Responsible feed ¹⁺²	Segregation	0,5		
	Mass Balance ⁴	0,375		
	Area Mass Balance ⁴	0,375		
	Book & Claim ⁴	0,375		
Each additional production location audited	0,375		N.A.	+ 0,125

1. If an invoicing address is applicable the minimum obliged audit time is 0,125 days.
2. If a PO-box is applicable the minimum obliged audit time is 0,125 days.
3. Number of employees is including part-time workers calculated as percentage of FTE.
4. For each additional production location audited see also Table 3 if applicable.

Table 2						
In combination with a GMP+ FSA scope (or equivalent as mentioned in chapter 3 of TS1.2 Purchase) at the same location						
Scope group	Scope of certification	Supply chain model	Minimum audit times in days (all types of audits)			TFTE
			Basic audit times (TD)	Each additional GMP+ FRA scope (TH1)	Each additional supply chain (TH2)	
A.	Production of compound feed - GMO Controlled	N.A.	0,125	+ 0,125	N.A.	See CR 2.0
	Production of premixtures - GMO Controlled		0,125			
	Production of feed additives - GMO Controlled		0,125			
	Production of feed materials - GMO Controlled		0,125			
	Trade in feed - GMO Controlled		0,125			
	Storage and Transshipment of feed - GMO Controlled		0,125			
	Road transport of feed - GMO Controlled		0,125			
	Each additional production location audited		0,125	+ 0,063 ²		
B.	RTRS	Segregation	0,125	N.A. all scopes within group B are considered as one scope when calculating audit times.	+ 0,063	
		Mass Balance ¹	0,125			
	Responsible pig & poultry feed	Segregation	0,125			
		Mass Balance ¹	0,125			
		Area Mass Balance ¹	0,125			
		Book & Claim ¹	0,125			
	Responsible dairy	Segregation	0,125			

		Mass Balance ¹	0,125			
		Book & Claim ¹	0,125			
	Responsible feed	Segregation	0,125			
		Mass Balance ¹	0,125			
		Area Mass Balance ¹	0,125			
		Book & Claim ¹	0,125			
	Each additional production location audited		0,125	N.A.	+ 0,063 ²	
C	Feed environmental footprint	N.A.	0,375	N.A.	N.A.	
	Each additional production location audited		0,125	N.A.		
<p>1. For each additional production location audited see also Table 3 if applicable.</p> <p>2. For more than 5 additional production locations, TH1 and TH2 must be 0,125 days.</p>						

When a main office has additional production location(s) and the following conditions are met, Certification Bodies can decide to audit the additional production location(s) from the main office, and the minimum obliged audit times in Table 3 are applicable for the main office.

- All FRA scopes certified at each additional production location, as specified in Table 3, must be secured in the feed responsibility management system (FRMS) of the main office.
- The main office is fully responsible for managing a common material accounting system that secures all scopes and supply chain models, and the same calculation tool(s) used at all additional production locations.
- The main office holds full responsibility and performs the following activities for all additional production locations:
 - purchase of ingredients/raw materials of final feed products produced by the additional production location(s),
 - formulation of product recipes of final feed products produced by the additional production location(s),
 - sales of final feed products produced by the additional production location(s),
 - production planning and/or transport planning of final feed products produced by the additional production location(s),
 - collection and calculation of relevant data for feed environmental footprint of all additional production location(s),
 - all relevant documentation is (digitally) available at the main office.

For calculating the minimum obliged (Initial Certification audit (ICA); (Un)Announced Surveillance audit (USA/ASA) and Recertification audit (RCA)) audit time for each additional production location(s). The following formula must be used:

$$\mathbf{T_s = T_D + T_{H1}}$$

Where:

T_s: minimum obliged audit time for additional production location(s) that can be audited from the main office, in days.

T_D: the sum of the audit times per scope to be added to the main office, in days.

T_{H1}: the sum of the audit time for each additional supply chain model for per individual scope in scope group B, in days.

Table 3. Audit times for max. 5 additional production location(s)				
Scope group	Applicable scope	Supply chain model	Basic audit times (TD)	Each additional supply chain model (TH1)¹⁺
B.	RTRS	Mass Balance	0,125	+ 0,063
	Responsible pig & poultry feed	Mass Balance	0,125	
		Area Mass Balance		
		Book & Claim		
	Responsible dairy	Mass Balance	0,125	
		Book & Claim		
	Responsible feed	Mass balance	0,125	
		Area Mass Balance		
		Book & Claim		
C.	Feed environmental footprint	N.A.	0,125	N.A.

1. For more than 5 additional production locations, TH1 must be 0,125 days.

Appendix 3: Multi-site certification

Appendix 4 of the CR2.0 *Assessment and Certification of Feed Safety Assurance scopes* is applicable. In addition:

Multi-site certification is not permitted for the FRA scopes:

- Production of compound feed - GMO controlled,
- Production of premixtures - GMO controlled,
- Production of feed materials - GMO controlled,
- Production of feed additives - GMO controlled.

Multi-site certification is permitted for the FRA scopes:

- Trade in feed - GMO controlled,
- Storage and Transshipment of feed - GMO controlled,
- Road transport of feed - GMO controlled,
- RTRS*
- Responsible pig & poultry feed*
- Responsible dairy feed*
- Feed environmental footprint*
- Responsible feed*

* With the exception of:

- any location which is certified for the aforementioned scopes that produces feed products;
- when these scopes are audited in combination with a scope for which multi-site certification is not allowed (both FRA and FSA scopes).

Helpful tip:

If, for example, a group of companies consist of multiple production locations and trade locations, the production locations in this group cannot be certified under multi-site but for the trade locations this is possible.

Audit frequency for a multi-site organization:

- With a main office and equal or less than 20 multi-site locations, all multi-site locations must be audited at least once during one certification cycle.

With a main office and more than 20 multi-site locations, all multi-site locations must be audited at least once during two consecutive certification cycles.

Minimum obliged audit time in day's per multi-site location

Scope group	Location		Minimum audit times		
	Main office	Audit time mentioned in Appendix 2 increase with extra audit time per multi-site location of 0,25 ¹ day up to a maximum of 1,25 day.			
	Multi-site location	First supply chain model/ calculation tool	Minimum audit times	Each additional supply chain model/ calculation tool	
A.	Trade in feed - GMO Controlled	N.A.	0,125	N.A.	
	Storage and Transshipment of feed – GMO Controlled		0,125		
	Road transport of feed – GMO Controlled		0,125		
	Both Storage and Transshipment of feed and Road transport of feed – GMO Controlled		0,125		
	Storage and Transshipment of feed and/or Road transport of feed and/or limited Trade in feed – GMO Controlled		0,25		
B.	RTRS ³⁺⁴	Segregation	0,125	+0,063	
		Mass Balance	0,125		
	Responsible pig & poultry feed ³⁺⁴	Segregation	0,125		
		Mass Balance	0,125		
		Area Mass Balance	0,125		
		Book & Claim	0,125		
	Responsible dairy feed ³⁺⁴	Segregation	0,125		
		Mass Balance	0,125		
		Book & Claim	0,125		
	Responsible feed ³⁺⁴	Segregation	0,125		
		Mass Balance	0,125		
		Area Mass Balance	0,125		
		Book & Claim	0,125		
	Feed environmental footprint ³	N.A.	0,125		+0,063
	Multi-site location PO-Box ²	N.A.	0,125		N.A.
Multi-site location invoicing address ²	N.A.	0,125	N.A.		
¹ If the multi-site is a PO-Box and/or an invoicing address, no additional audit time at the main office is applicable.					

² Audit times are not applicable in combination with an FSA invoicing address/ PO box.

³ Certification Bodies can decide to audit the multi-site location(s) from the main office. This is only applicable for scope group B (excluding supply chain model Segregation) and C when the following conditions are met.

- All FRA scopes certified at each multi-site location(s), as specified in the table, must be secured in the feed responsibility management system (FRMS) of the main office.
- The main office is fully responsible for managing a common material accounting system that secures all scopes and supply chain models and the same calculation tool(s) used at all multi-site locations.
- The main office holds full responsibility and performs the following activities for all multi-site locations:
 - purchase and/or sales of feed products covered by the applicable scopes(s) from multi-site locations,
 - planning transport, storage and transshipment activities,
 - collection and calculation of relevant data for feed environmental footprint of all multi-site location(s),
 - all relevant documentation is (digitally) available at the main office.

⁴ all scopes within group B are considered as one scope when calculating audit times.

Appendix 4: Auditing not at the GMP+ certified company location

The requirements as stated in Appendix 5 of CR2.0 Assessment and Certification of Feed Safety Assurance scopes are applicable for the following scopes:

- Trade in feed – GMO Controlled;
- Road transport of feed – GMO Controlled;
- Road transport of feed – GMO Controlled mandatory sub-scope Tractionair;
- "Invoicing Address";
- "PO-Box".

Appendix 5: Remote audits

If an audit is performed in combination with a FSA scope then the requirements as stated in Appendix 6 of the CR2.0 Assessment and Certification of Feed Safety Assurance scopes prevail.

Applicability	Full Remote Audit		Guided Remote Audit
	During the regular certification cycle	During extraordinary events	During extraordinary events
FRA Scopes	<ul style="list-style-type: none"> RTRS¹ Responsible pig & poultry feed¹ Responsible dairy feed¹ Trade in feed -GMO controlled Road transport of feed – GMO controlled (only for multisite mandatory sub-scope tractionair) Feed environmental footprint¹ Responsible feed¹ 	<ul style="list-style-type: none"> RTRS Responsible pig & poultry feed Responsible dairy feed Trade in feed -GMO controlled Road transport of feed – GMO controlled (only for multisite mandatory sub-scope tractionair) Feed environmental footprint Responsible feed 	<ul style="list-style-type: none"> RTRS Responsible pig & poultry feed Responsible dairy feed Trade in feed -GMO controlled Road transport of feed – GMO controlled (only for multisite mandatory sub-scope tractionair) Feed environmental footprint Responsible feed Production - GMO controlled (all scopes) Storage and transshipment of feed – GMO controlled
Type of audit	<ul style="list-style-type: none"> Surveillance audit² (Announced and Unannounced) Expansion audit² Adding a multi-site location³ 	<ul style="list-style-type: none"> Initial Certification audit³ Surveillance audit (Announced and Unannounced) Recertification audit Expansion audit³ Adding a multi-site location³ 	<ul style="list-style-type: none"> Initial Certification audit³ Surveillance audit (Announced and Unannounced) Recertification audit, Expansion audit³ Adding a multi-site location³.

<p>Specific Requirements</p>	<p>Prior to the audit, the Certification Body must perform and document a risk assessment with at least the following risks that have a significant impact on the audit:</p> <ul style="list-style-type: none"> • Use of the ICT tools: familiarity of the auditor, guide and the auditee with the communication application (Skype, Microsoft Teams, Zoom, etc.); • Possibility of using cameras during the whole audit (incl. plant tour) – live connection; • Availability of a secure ICT tool for transferring documents and screensharing; • Availability of FRMS documents/ records in electronic format; • Forgery of the digital evidences; • Quality of the Internet connection between auditor, guide and auditee; • Impact on the audit planning; • Impact on the audit duration; • Impact on audit agenda; • Impact on audit preparation; • The way of performing a remote audit; • Auditor and auditee capability of communicating (incl. reading and understanding the FRMS documents); • Maturity of the certified organization’s FRMS and nonconformity history; • Time zone difference between auditor and auditee (when applicable); • The site’s operability of the process for which the company is certified; 	<p>Same requirements for the risk assessment prior to the audit, as for Full remote audit during regular certification cycle.</p>	<p>Same requirements for the risk assessment prior to the audit, as for Full remote audit during regular certification cycle.</p>
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	<ul style="list-style-type: none"> Auditor has sufficient knowledge of the company (size, complexity, processes, interactions, etc.); When applicable, Assessment of previous report. <u>When applicable, the experience of the last remote audit.</u> 		The Certification Body must secure the Guide's impartiality and keep it as documented information.
Audit Time	Appendix 2 of the GMP+ CR3.0. 70% of the audit time must be spent connected with the auditee using ICT tools.	Appendix 2 of the GMP+ CR3.0. 70% of the audit time must be spent connected with the auditee using ICT tools.	Appendix 2 of the GMP+ CR3.0 70% of the audit time must be spent connected with the auditee and Guide using ICT tools.
Audit team competences	Auditor competencies as per GMP+ CR1.0	Auditor competencies as per GMP+ CR1.0	Auditor competencies as per GMP+ CR1.0 It is the responsibility of the Certification Body to determine if the Guide is competent. During the audit, the Guide is under the responsibility of the GMP+ accepted auditor of the Certification Body and must not draw conclusions during the audit
General Requirements	Follows the remaining requirements for the certification process as for a regular on-site audit	Follows the remaining requirements for the certification process as for a regular on-site audit	Follows the remaining requirements for the certification process as for a regular on-site audit
<p>¹If a company is acting as a main office for a production location(s), the full remote audit is not allowed.</p> <p>² If a company is acting as a main office of a multi-site construction, the full remote audit is not allowed.</p> <p>³ Excluding the scopes which physically handle feed: Production – GMO controlled (all scopes), Storage and transshipment of feed – GMO controlled, Road Transport of feed – GMO controlled. (also not in combination with one of the other service scopes).</p>			

We enable every company in the
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